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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PRE-APPEAL BRIEF REQUEST FOR REVIE		Docket Number (Optional)	
			0649-0769P
	Application N	lumber	Filed
		370-Conf. 398	January 22, 2001
	First Named	First Named Inventor	
	Shu MURA	YAMA et al.	
	Art Unit		Examiner
	20	663	C. H. A. Lee
This request is being filed with a notice of appeal			
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The review is requested for the reason(s) stated on the Note: No more than five (5) pages may be provi	ded.		Signature Michael K. Mutter pred or printed name
The review is requested for the reason(s) stated on the Note: No more than five (5) pages may be provided in the applicant /inventor. assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.7	ded.		Michael K. Mutter
The review is requested for the reason(s) stated on the Note: No more than five (5) pages may be provided in the applicant /inventor. assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.7 is enclosed. (Form PTO/SB/96)	ded.		Michael K. Mutter
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forms are submitted.

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Docket No.: 0649-0769P (PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of: Shu MURAYAMA et al.

Application No.: 09/765,670

Filed: January 22, 2001 Art Unit: 2663

For: MEDIA DATA CODING AND MULTIPLEXING

APPARATUS, MEDIA DATA CODING AND MULTIPLEXING SYSTEM, AND MEDIA DATA CODING AND MULTIPLEXING METHOD

Examiner: C. H. A. Lee

Confirmation No.: 6398

REASONS FOR PRE-APPEAL BRIEF REQUEST FOR REVIEW

MS AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In addition to the Notice of Appeal which is being concurrently filed, Applicants respectfully request a Pre-Appeal Brief Conference to consider the issues raised in the Office Action dated September 6, 2005, that finally rejected claims 1-14.

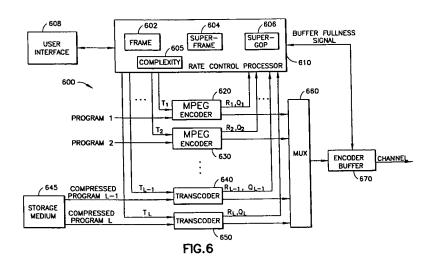
§ 102(e) REJECTION - WANG

Claims 1, 10 and 14 have been finally rejected under 35 U.S.C. § 102(e) as being allegedly anticipated by Wang et al. (USP 6,167,084, hereinafter "Wang"). Applicants respectfully traverse the rejection. For a Section 102 rejection to be proper, the cited reference must teach or suggest each and every claimed element. See M.P.E.P. § 2131; M.P.E.P. § 706.02. Thus, if the cited reference fails to teach or suggest one or more elements, then the rejection is improper and must be withdrawn. The Applicants respectfully submit that Wang fails to teach, show or suggest, "a parameter setting section for selectively adding, after multiplexing has occurred, a parameter to the multiplexed packet string output from the multiplexing section to output an output multiplex stream."

The Office Action has relied upon Fig. 4 (sic) of Wang (Fig. 6 is the correct figure) to show a MPEG encoder **620** (a coding section) and a Mux **660** (a multiplexing section) and a rate control processor (a parameter setting section) that allegedly correspond to the elements of claim 1. For convenience of the reader Fig. 6 is reproduced below:

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It is respectfully submitted that the rate control processor **610** of Fig. 6 does not correspond to the claimed parameter setting section or step of claims 1, 10 and 14. It is also respectfully submitted that Wang does not disclose any parameter setting section that sets a parameter **after mutlitplexing**.

The claimed parameter setting section or step of claims 1, 10 and 14 sets the parameters <u>after multiplexing has occurred</u>. Wang at col. 18, lines 46-48 clearly teaches that a parameter is adjusted in either the MPEG encoders 620 or transcoders which are disposed <u>before the multiplexer 660</u>. The Examiner's allegation that an adjusted parameter may appear in the data stored in the encoder buffer 670 which is <u>disposed after the multiplexer 660</u> does <u>not</u> literally meet the limitations of claims 1, 10 and 14. In order to meet the limitations of claim 1, 10 and 14 the parameter setting must occur <u>after the multiplexing</u>. Accordingly, it is respectfully requested that Examiner's final rejection of claims 1, 10 and 14 as being anticipated by Wang must be withdrawn.

§ 102(e) REJECTION – OBIKANE

Claims 2 and 7 have been finally rejected under 35 U.S.C. § 102(e) as allegedly anticipated by Obikane (USP 6,404,818, hereinafter "Obikane"). Applicants respectfully traverse the rejection. As stated above, for a Section 102 rejection to be proper, the cited reference must teach or suggest each and every claimed element. In this instance, Obikane fails to teach or suggest each and every claimed element. For example, claim 2 recites "a parameter setting section for selectively adding a parameter to the multiplexed packet string output". In other

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words, the parameter is set **after multiplexing has occurred**, because in Applicants' claimed invention the parameter is added to the multiplexed packet string output.

In the Office Action (page 2, section 2), it has been alleged that the packetizer **21B** of Fig. 12 of Obikane is a parameter setting section which corresponds to Applicants' claimed "parameter setting section". From Fig. 12, it is clear that the packetizer **21B** packetizes the coded video data **S21** before the coded video data is multiplexed by the multiplexer **29**. For the convenience of the reader Fig. 12 is reproduced below:

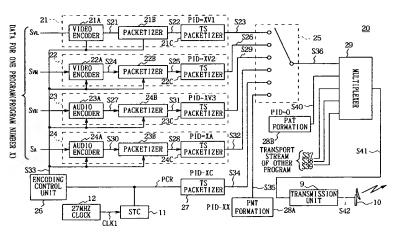


FIG. 12

In other words, the parameters of Obikane are not set in the final output or <u>after</u> multiplexing by the multiplexer 29. Instead, Obikane teaches that the parameters are set in the packetizer 21B <u>before</u> the coded video data is multiplexed.

In the Applicants' claimed invention certain parameters are set <u>after multiplexing</u>. For example, the Applicants have discovered that if parameters having continuity are added in the parameter setting section of the media data coding and multiplexing apparatus <u>at the final stage</u> (after multiplexing), parameters which need to be set continuously can be set in one batch and continuity can be easily held. There is no disclosure whatsoever of this claimed feature in the Obikane patent, and Obikane actually appears to teach away from the claimed invention by setting parameters <u>before multiplexing</u>.

For at least the above stated reasons, independent claim 2 is patentable and not anticipated by Obikane. Since dependent claim 7 depends from claim 2, it is respectfully

submitted that dependent claim 7 is patentable and not anticipated by Obikane for at least the same reasons as claim 2.

§ 103 REJECTION - Wang

Claims 11-13 stand finally rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Wang. Applicants respectfully traverse this rejection. Since it has been shown above that claim 1 is patentable over Wang, and since claims 11-13 depend from independent claim 1, claims 11-13 are also patentable over Wang for at least the same reasons. Applicants also respectfully request that the final rejection of claims 11-13, based on Wang, be withdrawn.

ALLOWABLE SUBJECT MATTER

Applicants note that the claims 3-6 and 8-9 are indicated to define allowable subject matter, but they have been objected to as depending upon rejected base claims. It is respectfully submitted that the rejected base claims are in condition for allowance, and it respectfully requested that the objection to claims 3-6 and 8-9 be withdrawn.

CONCLUSION

Should there be any outstanding matters that need to be resolved in the present application, the Examiner is respectfully requested to contact Richard J. McGrath (Reg. No. 29,195) at the telephone number of (703) 205-8000, to conduct an interview in an effort to expedite prosecution in connection with the present application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. §§ 1.16 or 1.17; particularly extension of time fees.

Dated: December 1, 2005

Respectfully submitted,

Michael K. Mutter

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